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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**John C. Harvey and James W. Cuddihy:**

Serial No.: 08/449,097

Group Art Unit: 2737

Examiner: FAILE, A.

Filed: May 24, 1995

Attorney Docket: 05634.0208

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

RECEIVED

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

JAN 10 2001

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the “field of use” clause in the application’s assignee’s license contract with a firm that is a large entity, it has come to the applicant’s attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. (“PMC”), the assignee of the above referenced application Serial No. 08/449,097 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above referenced application Serial No. 08/449,097 is hereby withdrawn.

Enclosed herewith is assignee’s check no. 3156 for the recalculated fees of \$365.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant’s

01/10/2001 SLUANG1 00000020 08449097

01 FC:699

365.00 0P

Adjustment Date: 01/10/2001 04/07 06/22/1995

Adjustment date: 01/10/2001 04/07 06/22/1995  
SLUANG1 00000020 08449097 365.00 0P

01 FC:101 02 FC:197 730.00 0P  
365.00 0P

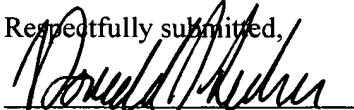
submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	
Response to first action new claim fees:	
Response to first action extention of time:	
Response to second action new claim fees:	
Response to second action extention of time:	
Response to final action new claim fees:	
Response to final action extention of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$365.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,  
  
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## Transaction List

Search by:

 Accounting Date: Operator ID: 

(MMDDYY)

Accounting Date

Starts: Ends:  Name/Number:  Attny Docket No:  Deposit Account No: 

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
04/12/1999	MFLETCH	16	SALE	103	A	954.00	08449097	
04/12/1999	MFLETCH	15	SALE	102	A	624.00	08449097	
10/07/1998	RTSEGAYE	163	SALE	117	A	950.00	08449097	
02/07/1997	330GL	301	CRDA	103	A	748.00	08449097	
02/07/1997	330GL	201	CRDA	116	A	390.00	08449097	
06/22/1995	040FT	26802	CRDA	101	A	365.00	08449097	
06/22/1995	040FT	26801	CRDA	201	A	-365.00	08449097	
06/21/1995	090BA	9000101	CRDA	101	A	365.00	08449097	083038
06/09/1995	090AR	2201	CRDA	201	A	365.00	08449097	



Adjustment Detail

Authorization Detail

